

INSURANCE, SECURITIES & BANKING FEES

Updated March 2013

INSURANCE	
Certificate of Authority New (GCA 22 §15110)	\$ 900.00
Renewal of Certificate of Authority (GCA 22 §15110)	\$ 500.00
Filing of any other certificate, statement, paper or exhibit required by insurance law (GCA 22 §15110)	\$ 20.00
Filing of new application after refusal to issue original Certificate of Authority (GCA 22 §15110)	\$ 900.00
Filing of application for Certificate of Authority after revocation or expiration (GCA 22 §15110)	\$ 900.00
Filing of application for withdrawal (GCA 22 §15110)	\$ 200.00
Furnishing copies of papers filed per page (GCA 22 §15110)	\$ 1.00
Certifying copies of paper filed, per document (GCA 22 §15110)	\$ 25.00
Penalty for transacting insurance business in Guam without insurer's license no more than \$5,000.00 (§15208, §15114)	\$ 5,000.00
Filing fee for Annual Statement domestic insurers (§15316(f))	\$ 50.00
Penalty for failure to file annual statement on or before April 1st of each year (§15316(f))	\$ 500.00
Penalty after May 15th, additional late filing fee of \$100 per day (§15316(f))	\$ 100.00
Penalty for any person violating the provisions on domestic insurers shall be fined no more than \$5000.00 (§15318)	\$ 5,000.00
Filing fee for Annual Statement foreign insurers (§15405)	\$ 50.00
Penalty for failure to file annual statement on or before April 1st of each year (§15405)	\$ 500.00
Penalty after May 15th, additional late filing fee of \$100 per day (§15405)	\$ 100.00
Filing fee for Annual Statement for alien insurers (§15506)	\$ 50.00
Penalty for failure to file annual statement on or before April 1st of each year (§15506)	\$ 500.00
Penalty after May 15th, additional late filing fee of \$100 per day (§15506)	\$ 100.00
License for persons selling insurance:	
Broker (§15701)	\$ 100.00
General Agent (§15701)	\$ 100.00
Subagent (§15701)	\$ 25.00
Nonresident producer (§15701)	\$ 25.00
Solicitor (§15701)	\$ 25.00
Insurance Adjuster (§15710)	\$ 50.00
Penalty for violating provisions relating to agents, brokers, solicitors and adjusters not more than \$5,000 (§15712)	\$ 5,000.00
Surplus Lines Broker (§15801)	\$ 100.00
Form Filing (§18308(f))	\$ 20.00
Rate Filing (§18501-1(h), (d))	\$ 200.00
Penalty for using rates not filed and not approved by the commissioner not more than \$5,000.00 (§18504)	\$ 5,000.00
BANKING	
Uniform Commercial Code	
Original Financing Statement per document (GCA 13 §9403(5))	\$ 10.00
Amendment or continuation per document (GCA 13 §9403(5))	\$ 10.00
Termination of financing statement per document (§9404)	\$ 10.00
Release of financing statement (§9405(3))	\$ 10.00
Statement of Assignment (§9406(4))	\$ 10.00
Information from Filing Officer (§9407(2))	\$ 10.00
Copy of Filed Financing Statement \$1 for first page & \$.50 for each add. Page (§9407(2))	\$ 1.00

Bulk Sales Filing Fee	\$ 20.00
Uniform Consumer Credit Code	
Notification by Persons subject to regulated lending for consumer sales, leases or loans basic fee (GCA 14 §6304(1))	\$ 20.00
Additional fee for consumer sales, leases & loans for each \$100,000 or fraction of \$100,000 of original unpaid balances (§6204(2))	\$ 20.00
Additional fee for assignees of consumer sales, lease or loans for each \$100,000 or fraction of \$100,000 of unpaid balances at the time of assignment. (§6204(3))	\$ 20.00
BANKS	
Main Office ,includes Main Branch of national banks, out-of-state and alien banks (GCA 11 §72102(a))	\$1,000.00
Assessment on Total Assets(\$0.022/\$1,000) \$0.022 (§72102(c))	
Other Lending Institutions (§72103)	\$ 500.00
Foreign Exchange License (§109103)	\$ 500.00
Agent of Foreign Exchange License (§109108)	\$ 50.00
Automated Teller Machines (ATM) (§72102(b))	\$ 500.00
SECURITIES	
Broker-dealer (GCA 22 §46203(b))	\$ 200.00
Investment Advisor (GCA 22 §46203(b))	\$ 200.00
Agent of Securities Broker - dealer (GCA 22 §46203(b))	\$ 50.00
Securities Registration Statement by qualification minimum of \$200.00 of offering price times one fifth of one percent (§46305(b))	\$ 200.00
(0.2%) not to exceed \$2,500 (§46305(b))	\$ 2,500.00
Notice Filing (§46202)	\$ 500.00
REAL ESTATE	
Trust Accounts: Penalty \$100 for each violation for failure to deposit into trust account, any down payment, earnest money, deposits, or other funds to trust account (GCA 21)	\$ 100.00
Original Broker's License - 2 Years (GCA 21 §104410)	\$ 200.00
Restricted Broker's License - one (1) year (§104412)	\$ 100.00
Renewal Broker's License - 4 years (§104413)	\$ 400.00
Original Salesman's License - 2 years (§104416)	\$ 100.00
Restricted Salesman's License -one (1) yr (§104418)	\$ 50.00
Renewal Salesman's License - 4 yrs (§104419)	\$ 200.00
Limited Salesman's License -120 day pd. (§104420)	\$ 50.00
Branch Office Broker's License (§104421)	\$ 50.00
Change of Name or Address (§104422)	\$ 25.00
Salesman's License Transfer (§104423)	\$ 25.00
Duplicate License (§104424)	\$ 25.00
Reinstatement of License (§104425)	\$ 25.00
REAL ESTATE APPRAISER:	
License and Renewal	
Certified Appraiser - 2 years (GCA 22 §30113(a))	\$ 200.00
Licensed appraiser - 2 years (GCA 22 §30113(a))	\$ 200.00
Non-resident certified or licensed appraiser (GCA 22 §30113(a))	\$ 200.00
Non-resident temporary certified or licensed appraiser (§30122)	\$ 100.00

Trainee appraiser	\$	200.00
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